



**PATENT** 

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Date May 1, 2002

Stephanie Jansen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 5 20

Applicants: Gundu M. Sabde and

Attorney Docket No.: 500163.04

Whonchee Lee

Serial No. : 09/915,658

Group Art Unit

: 3723

Filed

: July 25, 2001

Examiner

: Not yet assigned

Title

: METHODS AND APPARATUSES FOR PLANARIZING MICROELECTRONIC

SUBSTRATE ASSEMBLIES

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

The instant application is a divisional of U.S. Patent Application No. 09/356,808, filed July 20, 1999, now U.S. Patent No. 6,306,012, issued October 23, 2001. Applicants also wish to make known to the Patent and Trademark Office that an application, Serial No. 09/915,657, describing and claiming subject matter that is similar to the subject matter of this application, was filed on July 25, 2001. This related application, which is a divisional of

above-referenced Application No. 09/356,808, filed July 20, 1999, as well as any prior art cited therein, may be material to the examination of this application. The specification, claims, abstract and drawings of this related application as filed are identical to those currently on file in the instant application as filed, therefore a duplicate copy is not submitted herewith.

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

**DORSEY & WHITNEY LLP** 

Steven H. Arterberry Registration No. 46,314

SHA:sj

Enclosures:

Postcard Form PTO-1449 Cited References (4)

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| TRANS                                  | MARY |                      | U.S        | . PATENT :    | OCCUMENTS   |                 |  |       |  |
| *EXAMINE<br>R<br>INITIAL               |      | DOCUMENT NUMBER      | DATE       |               | NAME  | CLAS            | S SUBCLASS   | i     | G DATE<br>OPRIATE                                |
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